

**Product Name:** Notice of specified penalty (NSP)

**Product ID:** msa\_ed01nsp

**Product Category:** Enforcement Data

**Data Granularity:** Daily

**Time Series Status:**<sup>1</sup> Active

**Link to Data:** [https://www.data.albertamsa.ca/msa\\_cd01nsp.html](https://www.data.albertamsa.ca/msa_cd01nsp.html)

**Data Dictionary Last Updated:** November 1, 2025

## DATA DESCRIPTION

If the MSA is satisfied that contravention of an ISO Rule or Alberta Reliability Standard (ARS) has occurred and has determined that a notice of specified penalty (NSP) is appropriate, then AUC Rules 019 and 027 specifies how the MSA can issue an NSP.

In accordance with AUC Rule 027, NSPs for ARS contraventions that start with a 'CIP' prefix are not made public, as well as any information related to the nonpayment or dispute of a CIP ARS NSP. CIP matters deal with cyber security issues and there is concern that granular public reporting may itself create a security risk. As such, the MSA will only report aggregated statistics regarding CIP ARS dispositions.

Each of the dataset's fields is described below.

Field Name	Description	Format/Unit
MSA File Number	Reference number assigned by the MSA for a given matter	Nominal Text
Close Out Date	Date a letter closing out a given matter is sent to the relevant Market Participant.	YYYY-MM-DD
Firm	Market Participant, Registered Entity, or ISO name associated with a given matter.	Text
Type	Categorical value indicating if a given matter is associated with an ISO rule or Reliability Standard.	Nominal Text
ISO Rule / ARS	Categorical value indicating the rule(s) or standards associated with a given matter.	Ordinal Text

<sup>1</sup> Active time series indicates that the data continues to be calculated using the current methodology and is regularly updated. Inactive means the calculation methodology has changed, and the product ID is no longer subject to ongoing updates.

	Values are inclusive of all rules and standards effective during the period of contravention for a given matter.	
Penalty	Summation of all penalties associated with a given matter.	Integer

**DATA SOURCE**

MSA File Number

- Generated by the MSA when a matter is opened by the MSA; related matters that can arise from the initial self-report, referral, or other source do not necessarily have sequential matter numbers and sequential matter numbers associated with the same company should not be interpreted as necessarily being related

Close Out Date

- Generated by the MSA when a disposition is issued

Firm

- Sourced from participant submitted self-reports and AESO submitted referrals, but may be corrected by the MSA to align with the AESO maintained participant, registered entity, and asset lists; the history of this data is not changed when the ownership of assets or companies change

Type

- Generated by the MSA depending on whether the NSP for the matter related to a contravention of the ISO rules or the ARS

ISO Rule/ARS

- Generated by the MSA based on the ISO rule number or ARS that the matter was determined to relate to; this may not be the same ISO rule number or ARS that was included on a self-report or referral if the MSA determines that another rule should apply; this history of this data is not changed when the ISO changes ISO rules or ARS

Penalty Amount

- Generated by the MSA based on the notices of specified penalty issued in relation to a given matter. The amount is reported in Canadian dollars.

**METHODOLOGY**

To perform the penalty calculation, all penalties for a given matter are summed.